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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Attention: Refund Section, Account Division
Office of Finance

Re: K. INOUE, et al. -- Application No.: 09/698,185
Our Ref. No.: 500.39240X00

SUBMISSION OF DOCUMENTS

Sir:

Applicants hereby submit the attached "Request for Refund/Credit" (2 pgs), Copy of March 17, 2003, Credit Card Payment Form (1 pg), and copy of post card date-stamped by the USPTO in the above-identified application.

CERTIFICATE OF TRANSMISSION:

I hereby certify that the attached "Request for Refund/Credit" (2 pgs), Copy of March 17, 2003, Credit Card Payment Form (1 pg), and copy of post card date-stamped by the USPTO, are being formally filed in the USPTO via Group 2827 Facsimile No. 703-872-9319 on September 8, 2003.


Barbera T. Dooley

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500.39240X00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: K. INOUE, et al.
Application No.: 09/698,185
Filed: October 30, 2000
For: SEMICONDUCTOR DEVICE AND METHOD
FOR PRODUCING THE SAME
ATTENTION: Refund Section, Account Division
Office of Finance

REQUEST FOR REFUND/CREDIT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

September 8, 2003

Sir:

In accordance with the Notice set forth in 1027OG 115, it is respectfully requested that the excess fees charged to the Deposit Account of Antonelli, Terry, Stout and Kraus, LLP, Account No. 01-2135, on April 8, 2003, in the amount of \$36.00, for additional claims fee, as noted on the Monthly Statement of Deposit Account dated April 30, 2003, in connection with the above-identified application, be refunded.

A Final Office Action was received on October 16, 2002. Applicants, through their Attorney, on March 17, 2003, filed a RCE and an Amendment in response to the Final Office Action, in which a one-month Extension of Time fee was paid along with a payment of \$84.00 for an Independent Claim Fee. Upon further review of the above-identified application, it is noted that the \$84.00 payment for the Independent Claim was made in error. It is also noted that payment of \$72.00 for 4 additional claims had not been paid when the Amendment was filed. Therefore a credit in the

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amount of \$12.00 should be credited to our deposit account for the difference between the erroneous payment of \$84.00 and the fee of \$72.00 for the additional claims.

On the Monthly Statement of Deposit Account, dated April 30, 2003, it is indicated that a charge was posted under PTO Control No. 16, to Application No. 09/698,185, under Fee Code 1202, in the amount of \$36.00, which indicates additional claims fee. It is noted that this charge is in error, for reasons indicated above, and therefore a credit in the amount of \$12.00 should be credited to the Deposit Account of Antonelli, Terry, Stout & Kraus, LLP, Account No. 01-2135. (Docket No.: 500.39240X0)

A credit is respectfully requested at this time.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

By


Gregory E. Montone
Reg. No. 28,141

GEM/dlt

1300 North Seventeenth Street, Suite 1800
Arlington, Virginia 22209
Telephone: (703) 312-6600
Facsimile: (703) 312-6666

Attachments: Copies Credit card payment form
Post card date-stamped by the USPTO

PTO-2038 (02-2000)

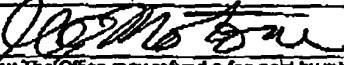
Approved for use through 01/31/2003. OMB 0651-0043

United States Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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United States Patent & Trademark Office
Credit Card Payment Form
Please Read Instructions before Completing this Form

Credit Card Information

Credit Card Type:	<u>Visa</u>	<u>MasterCard</u>	<u>American Express</u>	<u>Discover</u>
Credit Card Account #:	<u>3782 966500 12097</u>			
Credit Card Expiration Date:	<u>06/04</u>			
Name as it Appears on Credit Card:	<u>Gregory E. Montone</u>			
Payment Amount \$(US Dollars):	<u>\$1,244.00</u>			
Signature:			Date: <u>3/17/03</u>	
<small>Refund Policy: The Office may refund a fee paid by mistake or in excess of that required. A change of purpose after the payment of a fee will not entitle a party to a refund of such fee. The Office will not refund amounts of twenty-five dollars or less unless a refund is specifically requested, and will not notify the payor of such amounts (37 CFR 1.26). Refund of a fee paid by credit card will be via credit to the credit card account. Service Charge: There is a 50.00 service charge for processing each payment refused (including a check returned "unpaid") or charged back by a financial institution (37 CFR 1.21(m)).</small>				

Credit Card Billing Address

Street Address 1:	<u>1300 N. 17th Street</u>		
Street Address 2:	<u>Suite 1800</u>		
City:	<u>Arlington</u>		
State:	<u>Virginia</u>	Zip/Postal Code:	<u>22209</u>
Country:	<u>USA</u>		
Daytime Phone #:	<u>(703)312-6600</u>	Fax #:	<u>(703)312-6666</u>

Request and Payment Information

Description of Request and Payment Information:


RCE \$750 -- ext of time 2 mos. \$410 -- 1 independent claim \$84

Patent Fee	Patent Maintenance Fee	Trademark Fee	Other Fee
Application No. <u>09/698,185</u>	Application No.	Serial No.	IDON Customer No.
Patent No.	Patent No.	Registration No.	
Attorney Docket No. <u>500.39240X00</u>		Identify or Describe Mark	

If the cardholder includes a credit card number on any form or document other than the Credit Card Payment Form, the United States Patent & Trademark Office will not be liable in the event that the credit card number becomes public knowledge.

Patent ☒ Trademark ☐ Dkt. No. 500.39240X00
Application No. 09/698,185 Filing Date Oct. 30, 2000
Applicant(s) Inoue et al.
Papers filed herewith on March 17, 2003

☐ New Application Transmittal
 Pages of Specification
 Sheets of Drawings
☐ Declaration
☐ Claim for Priority
 ☐ Priority Documents
☐ Assignment Papers
☒ Credit Card Payment Form
 Fees \$ 1,244.00
☒ Amendment
☒ Petition for Extension of Time
☐ Information Disclosure Statement
☐ Copies of References
☐ Notice of Appeal
☒ Other Request for Continued Examination, Abstract (1pg)



Receipt is hereby acknowledged of the papers filed as indicated in connection with above identified application.
U.S. PATENT AND TRADEMARK OFFICE

NOA 4116